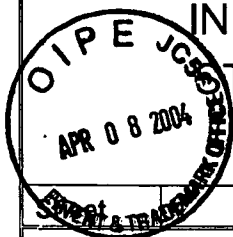


Substitute for Form 1449/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



of

8

Complete if Known

Application Number	10/733,700
Filing Date	12/11/2003
First Named Inventor:	Watt II
Art Unit	
Examiner Name	
Attorney Docket Number	003801.P159

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
/J.V./		FR	2 658 635		08-23-1991	Assabgui Mourad		
↓		WO	96/34356		10-31-1996	Woolston, Thomas, G.		
↓		NL	9300266		09-01-1994	Hubertus, Theodoor, et al.		
↓		WO	92/15174		09-03-1992	Grusin, Hillsard, et al.		
↓		PCT WO	97/37315		10-19-1997	Onsale, Inc.		
↓		PCT WO	99/63461		12-09-1999	Dinwoodie		
↓		PCT WO	99/18510		08-12-1999			
↓		PCT US	00/32088		11-20-2000			

Examiner
Signature

/James Vezeris/

Date Considered

12/03/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	8	Attorney Docket Number	003801.P159

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		"Auctions, The Social Construction of Value," Charles W. Smith, University of California Press, Berkeley, 1990	
		Company Launches Reverse Auction Site, Carey McConkey, The State News, 10/25/99	
		BANATRE, J-P, et al. "The Design and Building of Enchere, A distributed Electronic Marketing System". "Communications of the Association for Computing Machinery, New York, NY, US, Vol. 29, No. Jan. 1986, pp 9-29, XP000002077.	
		SHULMAN, Richard E., VCS and quick response: Priority Issues for Mass Merchandisers, Oct. 1989 Supermarket Business, v44, n10, pp13(4).	
		ROCKOFF, Todd E. et al., "Design of an Internet-based system for remote Dutch auctions," Internet Research" Electronic Networking Applications and Policy, vol. 5, No. 4, 1995, pp. 10-16	
		BOOKER, Ellis "Mega Real Estate Auction Counts on Imaging," Computerworld, Dec. 7, 1982, p. 20.	
		DYSON, Esther "Information, Bid and Asked," Forbes, Aug. 29, 1990, p.92.	
		TENENBAUM, Jay M. et al., "CommerceNet: Spontaneous Electronic commerce on the Internet," 1995 IEEE Spring Compcn, pp. 38-43.	
		ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for The Computer Museum in Boston" Mountain View, CA, May 22, 1995, 4 pgs.	
		The Computer Museum brings auction block to cyberspace in First Internet Auction," Business Wire, Business Wire, Inc. Mar. 14. 1994, 2 pgs.	
		"AUCNET TV Auction Network System," Harvard Business School, 9- 190-001, Jul. 19, 1989, 1 pg.	

Examiner Signature	/James Vezeris/	Date Considered	12/03/2007
--------------------	-----------------	-----------------	------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	3	of	8	Attorney Docket Number	003801.P159
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		"AUCNET: The Story continues," Harvard Business School, 2-195-122, Jan. 17, 1995, 1 pg.			
		COHEN, Danny "Electronic Commerce," University of Southern California, Information Sciences Institute, ISI Research Report, ISI/RR-89-244, Oct. 1989, cover, index, pgs. 1-19; 31-33.			
		FRANKLIN, Matthew K. et al., "The Design and Implementation of a Secure Auction Service," 1995 IEEE Symposium on Security and Privacy, Oakland, California, May 8-10, 1995, pp. 2-14.			
		GRAVES, Robert L. et al., "An Auction Method for course Registration," INTERFACES 23:5, Sep. Oct., 1993, pp. 81-92.			
		MCCABE, Kevin A. et al., "Smart Computer-Assisted Markets," Science, Vol. 254, Oct. 25, 1991, pp. 534-538.			
		TUROFF, Murray et al., "An Electronic Information Marketplace," North-Holland Computer Networks and ISDN Systems 9 (1985), pp. 79-90.			
		FREEMAN, Brian et al., "Hosting Services—Linking The information Warehouse to the Information Consumer," IEEE Spring Compcon 1994, pp. 165-171.			
		VARIAN, Hal. R. "Economic Mechanism Design for computerized Agents," USENIX Association, Proceedings of the First USENIX Workshop of Electronic Commerce, New York, New York, Jul. 11-12, 1995, pp. 13-21.			
		SIRBU, Marvin et al., "NetBill: An Internet Commerce System Optimized for Network Delivered Services," IEEE 1995 Spring Compcon, pp. 20-25.			
		SCHMITZ, Tom, "California Computer Auctions No Boon for Bargain Hunters", September 13, 1993, San Jose Mercury News, Calif., 4 pgs.			
		MASKERY, Maryann, "Car auction reaches into space", Automotive News, November 25, 1991, p. 6, 3 pgs.			
Examiner Signature	/James Vezeris/			Date Considered	12/03/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	4	of	8	Attorney Docket Number	003801.P159

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		ANDERSON, Scott et al., "the Efficiency of Experimental Asset Markets: Empirical Robustness and Subject Sophistication," Research in Experimental Economics," The Journal of Economic Education, Fall 1993, Vol. 24, No. 4, pgs. 107-190.	
		WILLIAMS, Arlington W. and WALKER, James M. "Computerized Laboratory Exercises for Microeconomics Education: Three Applications Motivated by Experimental Economics" The Journal of Economic Education Fall 1993 Vol. 24 No. 4, 13 pgs.	
		SAEKI, Motosh et al., "Supporting Distributed individual Work in Cooperative Specification Development," Nov. 15, 1995, pp. 232-247.	
		HELINSKI, Paul "Automating Web-Site Maintenance Part 2, Perl-based tools to manage your Web site," Web Techniques, December 1996, pp. 75-76 & 78.	
		"Computer Museum hosts Internet auction," Computerworld, March 28, 1994, 1 pg.	
		"Seventh Annual Intermac Users Group Education Symposium," Computerworld, March 28, 1994, same page as above reference.	
		"Auctioning off Relics of the Computer Age," Business Week, April 11, 1994. 1 pg.	
		"The Computer Museum Brings Auction Block to Cyberspace in First Internet Auction," March 11, 1994 press release, 1 pg.	
		"First-ever Internet Auction Produces Results for the Computer Museum," April 28, 1994 press release, 4 pgs. (duplicate releases)	
		"First Internet Auction," Computer Literacy Book Bulletin (no date), 1 pg.	
		"Unusual Farmland Auction Set," Liquidation Alert, Harrison Scott Publications, Inc., March 28, 1994, 2 pgs.	

Examiner Signature	/James Vezeris/	Date Considered	12/03/2007
--------------------	-----------------	-----------------	------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	5	of	8	Attorney Docket Number	003801.P159
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
		EDELL, Richard J. "Billing Users and Pricing for TCP," IEEE Journal on Selected Areas in Communications, Vol. 13, No. 7, September 1995, pp. 1162-1175			
		BANATRE, Michael, "Distributed Auction Bidding System," Computer Communications, Vol. 4, No. 4, August 1981, pp. 179-186.			
		NEO, Boon Siong, "The Implementation of an Electronic Market for Pig Trading in Singapore," The Journal of Strategic Information System December 1992 Vol. 1 No. 5, cover, 2 pg. index, pgs. 278-288.			
		Business Report, Feedstuffs, "DTN Superior to Offer Dialing Electronic Auction," February 8, 1993, 1 pg.			
		RECK, Martin, "Types of Electronic Auctions," ST. GALLEN, Hochschule [no date; ref. at end of article M. Reck: "The Identification and Formal Specification of Electronic Markets Types," paper presented at the ICIS Doctoral Consortium, Dallas (TX), 1992], pgs. 236-243.			
		MC CARTHY, GENE, "The Electronic Auctioning of Servicing" Mortgage Banking, December 1994, pgs. 96-98.			
		JOHNSON, Alonzo, "Multiple Unit Double Auction User's Manual," Social Science Working Paper 676, Division Of The Humanities and Social Sciences California Institute of Technology, June 1988. Revised September 1989, pgs. E0296352-E0296369.			
		FORSYTHE, Robert, NELSON, Forrest, NEUMANN, George, and WRIGHT, Jack "The Iowa Presidential Stock Market: A Field Experiment Research in Experimental Economics, Vol. 4, ISBN: 0-89232-652-2, pgs. 1-43.			
		Cassini Resource Exchange User's Guide. California Institute of Technology. E 0296417. April 1993, pgs. E0296417-E0296435.			
		O'DELL, John "Automobiles" The Los Angeles Times copyright, The Times Mirror Company; Los Angeles Times 1994 All Rights Reserved, pgs. 13-14.			
		THOMAS, Charles M. "Automotive News" Copyright 1994 Crain Communications Inc. E0270864. September 19, 1994, pgs. 11-12.			
Examiner Signature	/James Vezeris/			Date Considered	12/03/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	6	of	8	Attorney Docket Number	003801.P159
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		Omaha World-Herald Company. Omaha World Herald. September 11, 1994. Business Section, pgs. E0295270-E0295273.			
		LEDYARD, John O., PORTER, David & RANGEL, Antonio "Using Computerized Exchange Systems to Solve an Allocation Problem in Project Management," pgs. E0296459-E0296484.			
		ANAND, R. et al., Research Report, The Electronic Flea Market," RC 19678 (87230) 7/28/94, IBM Research Division, cover and pgs. 1-18.			
		WEISS, Aaron "The Virtual Flea market," Internet World, June 1995, pp. 54-57.			
		LITTLEFAIR, T. "Homolink: a unique service," Computer bulletin, June 1986, pp. 12-13.			
		Copyright 1995 Investor's Business Daily, Inc. Investor's Business Daily February 24, 1995. "How Auction Technology Sped and Enhanced Sale of Radio Licenses," 3 pgs.			
		SAMMER, Harald W. "Online Stock Trading Systems: Study of an Application," IEEE Spring Compcon 1987, pgs. 161-162.			
		MALONE, Thomas W. et al., "Electronic Markets and Electronic Hierarchies," Communications of the ACM, Vol. 30. No. 6, June 1987, pgs. 484-497.			
		Court of Appeals for the Federal Circuit, In re Schrader, 30 USPQ 2d, 1455-1462; claim 1 of application at issue cited at column 2, pgs. 1456-1462.			
		HENG-WAH, Choy, "Electronic Stamp Auction," Google Groups View Thread, 6/17/94, 1 pg.			
		JACKSON, Ed, "Too much commercial offers," Google Groups, 3/13/96, 2 pgs.			
Examiner Signature	/James Vezeris/			Date Considered	12/03/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/733,700
				Filing Date	December 11, 2003
				First Named Inventor:	Watt, II et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	7	of	8	Attorney Docket Number	003801.P159
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		Zwass, Vladimir, "Electronic Commerce: Structures and Issues", <u>International Journal of Electric Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1			
		Van Heck, E. Ribbers, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6			
		Lee, HG., "Aucnet: Electronic Intermediary for Used-car Transactions" <u>Focus Theme</u> , No Date Given, Pages 1-5			
		Klein, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4			
		Turban, E. "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5			
		Schmid, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No.9/10			
		Tjostheim, I; Eide, J. "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Give, Pages 1-10			
		Graham, I. "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3			
		Reck, Martin., "Trading-Process Characteristics of Electronic Auctions" <u>Focus Theme</u> , No Date Given, Pages 1-7			
		Mardesich, J., "Onsale Takes Auction Gavel Electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California			
		"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Pages 73, CMP Publications, Inc., USA.			
Examiner Signature	/James Vezeris/			Date Considered	12/03/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/733,700	
				Filing Date	December 11, 2003	
				First Named Inventor:	Watt, II et al.	
				Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Attorney Docket Number	003801.P159					
Sheet	8	of	8			
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				1 ²
		Siegman, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company				
		Reck, Marting, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, NY.				
		Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3				
		Lee, G. "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV				
		Clemons, E; Weber B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol. 12				
		Massimb, MN; Phelps, BD, Electronic Trading, Market Structure and Liquidity", <u>Financial Analysis Journal</u> , January -February 1994, Vol. 50, No. 1, Pages 39-50, Charlottesville, Virginia				
		Post, DL; Coppinger SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3				
		Hess, CM; Kemmerer, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No. 3, Pages 251-174				
		Chris Preist, Marten van Tol, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistent Shout Double Auction</u> , (c) 1999, Pages 2.				
Examiner Signature	/James Vezeris/				Date Considered	12/03/2007

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.